### GENERAL ASSEMBLY OF NORTH CAROLINA SESSION 2019

H HOUSE BILL 297

Short Title:	Psychology Interjdtl. Compact (PSYPACT).	(Public)
Sponsors:	Representatives Grange, Dobson, and Szoka (Primary Sponsors).	
	For a complete list of sponsors, refer to the North Carolina General Assembly we	eb site.
Referred to:	Health, if favorable, Rules, Calendar, and Operations of the House	

#### March 7, 2019

#### A BILL TO BE ENTITLED

AN ACT ESTABLISHING A PSYCHOLOGY INTERJURISDICTIONAL COMPACT (PSYPACT).

Whereas, states license psychologists, in order to protect the public through verification of education, training, and experience and ensure accountability for professional practice; and

Whereas, this Compact is intended to regulate the day-to-day practice of telepsychology (i.e., the provision of psychological services using telecommunication technologies) by psychologists across state boundaries in the performance of their psychological practice as assigned by an appropriate authority; and

Whereas, this Compact is intended to regulate the temporary in-person, face-to-face practice of psychology by psychologists across state boundaries for 30 days within a calendar year in the performance of their psychological practice as assigned by an appropriate authority; and

Whereas, this Compact is intended to authorize State Psychology Regulatory Authorities to afford legal recognition, in a manner consistent with the terms of the Compact, to psychologists licensed in another state; and

Whereas, this Compact recognizes that states have a vested interest in protecting the public's health and safety through their licensing and regulation of psychologists and that such state regulation will best protect public health and safety; and

Whereas, this Compact does not apply when a psychologist is licensed in both the Home and Receiving States; and

Whereas, this Compact does not apply to permanent in-person, face-to-face practice, it does allow for authorization of temporary psychological practice; Now, therefore,

The General Assembly of North Carolina enacts:

**SECTION 1.** Article 18A of Chapter 90 of the General Statutes, G.S. 90-270.1 through G.S. 90-270.22, is recodified as Article 18G of Chapter 90 of the General Statutes, G.S. 90-270.135 through G.S. 90-270.159.

**SECTION 2.** Chapter 90 of the General Statutes is amended by adding a new Article to read:

#### "Article 18H.

"Psychology Interjurisdictional Licensure Compact.

#### "§ 90-270.160. Purpose.

This Compact is designed to achieve the following purposes and objectives:



#### Session 2019

#### 1 Increase public access to professional psychological services by allowing for 2 telepsychological practice across state lines as well as temporary in-person, 3 face-to-face services into a state which the psychologist is not licensed to 4 5 Enhance the states' ability to protect the public's health and safety, especially 6 7 Encourage the cooperation of Compact States in the areas of psychology 8 9 Facilitate the exchange of information between Compact States regarding psychologist licensure, adverse actions, and disciplinary history. 10 11 Promote compliance with the laws governing psychological practice in each 12 13 Invest all Compact States with the authority to hold licensed psychologists 14 accountable through the mutual recognition of Compact State licenses. 15 16 Adverse action. – Any action taken by a State Psychology Regulatory 17 Authority which finds a violation of a statute or regulation that is identified 18 by the State Psychology Regulatory Authority as discipline and is a matter of 19 20 <u>(2)</u> Association of State and Provincial Psychology Boards (ASPPB). – The 21 recognized membership organization composed of State and Provincial 22 Psychology Regulatory Authorities responsible for the licensure and 23 registration of psychologists throughout the United States and Canada. 24 <u>(3)</u> Authority to Practice Interjurisdictional Telepsychology. – A licensed 25 psychologist's authority to practice telepsychology, within the limits 26 authorized under this Compact, in another Compact State. 27 Bylaws. – Those Bylaws established by the Psychology Interjurisdictional <u>(4)</u> 28 Compact Commission pursuant to G.S. 90-270.169 for its governance, or for 29 directing and controlling its actions and conduct. 30 **(5)** Client/patient. – The recipient of psychological services, whether psychological services are delivered in the context of health care, corporate, 31 32 supervision, and/or consulting services. 33 Commissioner. – The voting representative appointed by each State (6) 34 Psychology Regulatory Authority pursuant to G.S. 90-270.169. 35 Compact State. – A state, the District of Columbia, or United States territory <u>(7)</u> 36 that has enacted this Compact legislation and which has not withdrawn 37 pursuant to G.S. 90-270.172(c), or been terminated pursuant to 38 G.S. 90-270.171(b). 39 Coordinated Licensure Information System or Coordinated Database. – An **(8)** 40 integrated process for collecting, storing, and sharing information on 41

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- psychologists' licensure and enforcement activities related to psychology licensure laws, which is administered by the recognized membership organization composed of State and Provincial Psychology Regulatory Authorities.
- Confidentiality. The principle that data or information is not made available <u>(9)</u> or disclosed to unauthorized persons and/or processes.
- Day. Any part of a day in which psychological work is performed. (10)
- Distant State. The Compact State where a psychologist is physically present (11)(not through the use of telecommunications technologies), to provide temporary in-person, face-to-face psychological services.

1 E.Passport. – A certificate issued by the Association of State and Provincial (12)2 Psychology Boards (ASPPB) that promotes the standardization in the criteria 3 of interjurisdictional telepsychology practice and facilitates the process for 4 licensed psychologists to provide telepsychological services across state lines. 5 <u>(13)</u> Executive Board. – A group of directors elected or appointed to act on behalf 6 of, and within the powers granted to them by, the Commission. 7 Home State. – A Compact State where a psychologist is licensed to practice (14)8 psychology. If the psychologist is licensed in more than one Compact State 9 and is practicing under the Authorization to Practice Interjurisdictional 10 Telepsychology, the Home State is the Compact State where the psychologist 11 is physically present when the telepsychological services are delivered. If the 12 psychologist is licensed in more than one Compact State and is practicing 13 under the Temporary Authorization to Practice, the Home State is any 14 Compact State where the psychologist is licensed. 15 <u>(15)</u> Identity History Summary. – A summary of information retained by the FBI, or other designee with similar authority, in connection with arrests and, in 16 17 some instances, federal employment, naturalization, or military service. 18 (16)In-person, face-to-face. – Interactions in which the psychologist and the client/patient are in the same physical space and which does not include 19 20 interactions that may occur through the use of telecommunication 21 technologies. 22 (17)Interiurisdictional Practice Certificate (IPC). – A certificate issued by the 23 Association of State and Provincial Psychology Boards (ASPPB) that grants 24 temporary authority to practice based on notification to the State Psychology 25 Regulatory Authority of intention to practice temporarily and verification of 26 one's qualifications for such practice. 27 <u>(18)</u> License. – Authorization by a State Psychology Regulatory Authority to 28 engage in the independent practice of psychology, which would be unlawful 29 without the authorization. 30 <u>(19)</u> Non-Compact State. – Any State which is not at the time a Compact State. 31 Psychologist. - An individual licensed for the independent practice of (20)32 psychology. 33 Psychology Interjurisdictional Compact Commission (Commission). – The **(21)** 34 national administration of which all Compact States are members. 35 Receiving State. – A Compact State where the client/patient is physically (22)36 located when the telepsychological services are delivered. 37 Rule. – A written statement by the Psychology Interjurisdictional Compact (23) 38 Commission promulgated pursuant to G.S. 90-270.170 of the Compact that is 39 of general applicability, implements, interprets, or prescribes a policy or 40 provision of the Compact, or an organizational, procedural, or practice 41 requirement of the Commission and has the force and effect of statutory law 42 in a Compact State, and includes the amendment, repeal, or suspension of an 43 existing rule. 44 Significant investigatory information. – (24)45 Investigative information that a State Psychology Regulatory <u>a.</u> 46 Authority, after a preliminary inquiry that includes notification and an 47 opportunity to respond if required by state law, has reason to believe, 48 if proven true, would indicate more than a violation of state statute or 49 ethics code that would be considered more substantial than minor 50 infraction; or

- 1 Investigative information that indicates that the psychologist b. 2 represents an immediate threat to public health and safety regardless 3 of whether the psychologist has been notified and/or had an 4 opportunity to respond. 5 **(25)** State. – A state, commonwealth, territory, or possession of the United States, 6 the District of Columbia. 7 State Psychology Regulatory Authority. – The Board, office or other agency (26)8 with the legislative mandate to license and regulate the practice of psychology. 9 Telepsychology. – The provision of psychological services using (27)10 telecommunication technologies. 11 (28)Temporary Authorization to Practice. – A licensed psychologist's authority to conduct temporary in-person, face-to-face practice, within the limits 12 13 authorized under this Compact, in another Compact State. 14 Temporary in-person, face-to-face practice. – Where a psychologist is (29)15 physically present (not through the use of telecommunications technologies), in the Distant State to provide for the practice of psychology for 30 days within 16 17 a calendar year and based on notification to the Distant State. 18 "§ 90-270.162. Home State Licensure. 19 The Home State shall be a Compact State where a psychologist is licensed to practice (a) 20 psychology. 21 (b) A psychologist may hold one or more Compact State licenses at a time. If the psychologist is licensed in more than one Compact State, the Home State is the Compact State 22 23 where the psychologist is physically present when the services are delivered as authorized by the 24 Authority to Practice Interjurisdictional Telepsychology under the terms of this Compact. 25 Any Compact State may require a psychologist not previously licensed in a Compact 26 State to obtain and retain a license to be authorized to practice in the Compact State under 27 circumstances not authorized by the Authority to Practice Interjurisdictional Telepsychology 28 under the terms of this Compact. 29 Any Compact State may require a psychologist to obtain and retain a license to be 30 authorized to practice in a Compact State under circumstances not authorized by Temporary 31 Authorization to Practice under the terms of this Compact. 32 A Home State's license authorizes a psychologist to practice in a Receiving State 33 under the Authority to Practice Interjurisdictional Telepsychology only if the Compact State: 34 Currently requires the psychologist to hold an active E.Passport; (1) 35 Has a mechanism in place for receiving and investigating complaints about (2) 36 licensed individuals; Notifies the Commission, in compliance with the terms herein, of any adverse 37 (3) 38 action or significant investigatory information regarding a licensed individual; 39 Requires an Identity History Summary of all applicants at initial licensure, <u>(4)</u> 40 including the use of the results of fingerprints or other biometric data checks 41 compliant with the requirements of the Federal Bureau of Investigation (FBI), 42 or other designee with similar authority, no later than 10 years after activation 43 of the Compact; and 44 <u>(5</u>) Complies with the Bylaws and Rules of the Commission. 45 A Home State's license grants Temporary Authorization to Practice to a psychologist
  - in a Distant State only if the Compact State:
    - Currently requires the psychologist to hold an active IPC; (1)
    - Has a mechanism in place for receiving and investigating complaints about **(2)** licensed individuals;
    - Notifies the Commission, in compliance with the terms herein, of any adverse (3) action or significant investigatory information regarding a licensed individual;

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	General Asse	embly Of N	orth Carolina	Session 2019
1	<u>(4)</u>	Requi	res an Identity History Summary of al	l applicants at initial licensure.
2			ing the use of the results of fingerprints	<del></del>
3			iant with the requirements of the Federa	
4		-	er designee with similar authority, no la	——————————————————————————————————————
5			Compact; and	
6	(5)		lies with the Bylaws and Rules of the C	Commission.
7			t Privilege to Practice Telepsycholog	<u>_</u>
8			es shall recognize the right of a psych	
9		-	h G.S. 90-270.162, to practice telepsyc	-
10			nich the psychologist is not licensed,	
11			ychology as provided in the Compact.	<u> </u>
12		_	he Authority to Practice Interjurisdicti	onal Telepsychology under the
13			this Compact, a psychologist licensed	<u> </u>
14	must:	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	was compact, a psychologist accused	to produce in a compact state
15	(1)	Hold :	a graduate degree in psychology from	an institute of higher education
16	3.2.		as, at the time the degree was awarded:	
17		<u>a.</u>	Regionally accredited by an accrediting	
18		<u></u>	Department of Education to grant gra	
19			Provincial Statute or Royal Charter to	
20		<u>b.</u>	A foreign college or university	<u> </u>
21		<del></del>	sub-subdivision a. of this subdivi	
22			evaluation service that is a member	
23			Credential Evaluation Services (NAC	
24			credential evaluation service; and	
25	<u>(2)</u>	Hold a	graduate degree in psychology that me	eets the following criteria:
26	<u> </u>	<u>a.</u>	The program, wherever it may be ad	
27		_	clearly identified and labeled as a	
28			program must specify in pertinent	
29			brochures its intent to educate and train	•
30		<u>b.</u>	The psychology program must stan-	
31		<del></del>	organizational entity within the institu	
32		<u>c.</u>	There must be a clear authority and pr	
33		_	and specialty areas whether or r	
34			administrative lines;	* *
35		<u>d.</u>	The program must consist of an inte	egrated, organized sequence of
36		<del>_</del>	study;	
37		<u>e.</u>	There must be an identifiable psychological psychological and the	ogy faculty sufficient in size and
38		_	breadth to carry out its responsibilities	S;
39		<u>f.</u>	The designated director of the program	m must be a psychologist and a
40			member of the core faculty;	
41		<u>g.</u>	The program must have an identifia	able body of students who are
42			matriculated in that program for a deg	ree;
43		<u>h.</u>	The program must include supervised	d practicum, internship, or field
44			training appropriate to the practice of	psychology;
45		<u>i.</u>	The curriculum shall encompass a mi	nimum of three academic years
46			of full-time graduate study for doctora	al degree and a minimum of one
47			academic year of full-time graduate st	udy for master's degree;
48		<u>j.</u>	The program includes an acceptable re	esidency as defined by the Rules
49			of the Commission.	-
50	<u>(3)</u>	Posses	ss a current, full, and unrestricted licer	nse to practice psychology in a
51		<u>Home</u>	State which is a Compact State;	

1 Have no history of adverse action that violate the Rules of the Commission; (4) 2 <u>(5)</u> Have no criminal record history reported on an Identity History Summary that 3 violates the Rules of the Commission; 4 Possess a current, active E.Passport; <u>(6)</u> 5 (7) Provide attestations in regard to areas of intended practice, conformity with 6 standards of practice, competence in telepsychology technology; criminal 7 background; and knowledge and adherence to legal requirements in the home 8 and receiving states, and provide a release of information to allow for primary 9 source verification in a manner specified by the Commission; and 10 Meet other criteria as defined by the Rules of the Commission. (8) 11 The Home State maintains authority over the license of any psychologist practicing (c) 12 into a Receiving State under the Authority to Practice Interjurisdictional Telepsychology. 13 A psychologist practicing into a Receiving State under the Authority to Practice 14 Interjurisdictional Telepsychology will be subject to the Receiving State's scope of practice. A Receiving State may, in accordance with that state's due process law, limit or revoke a 15 16 psychologist's Authority to Practice Interjurisdictional Telepsychology in the Receiving State 17 and may take any other necessary actions under the Receiving State's applicable law to protect 18 the health and safety of the Receiving State's citizens. If a Receiving State takes action, the state 19 shall promptly notify the Home State and the Commission. 20 If a psychologist's license in any Home State, another Compact State, or any Authority 21 to Practice Interjurisdictional Telepsychology in any Receiving State is restricted, suspended, or otherwise limited, the E.Passport shall be revoked and, therefore, the psychologist shall not be 22 23 eligible to practice telepsychology in a Compact State under the Authority to Practice 24 Interjurisdictional Telepsychology. 25 "§ 90-270.164. Compact Temporary Authorization to Practice. 26 Compact States shall also recognize the right of a psychologist, licensed in a Compact 27 State in conformance with G.S. 90-270.162, to practice temporarily in other Compact States 28 (Distant States) in which the psychologist is not licensed, as provided in the Compact. 29 To exercise the Temporary Authorization to Practice under the terms and provisions 30 of this Compact, a psychologist licensed to practice in a Compact State must: 31 Hold a graduate degree in psychology from an institute of higher education (1) 32 that was, at the time the degree was awarded: 33 Regionally accredited by an accrediting body recognized by the U.S. <u>a.</u> 34 Department of Education to grant graduate degrees, or authorized by 35 Provincial Statute or Royal Charter to grant doctoral degrees; or 36 A foreign college or university deemed to be equivalent to <u>b.</u> 37 sub-subdivision a. of this subdivision by a foreign credential 38 evaluation service that is a member of the National Association of 39 Credential Evaluation Services (NACES) or by a recognized foreign 40 credential evaluation service; and 41 Hold a graduate degree in psychology that meets the following criteria: <u>(2)</u> 42 The program, wherever it may be administratively housed, must be <u>a.</u> 43 clearly identified and labeled as a psychology program. Such a 44 program must specify in pertinent institutional catalogues and 45 brochures its intent to educate and train professional psychologists; 46 The psychology program must stand as a recognizable, coherent, b. 47 organizational entity within the institution; 48 There must be a clear authority and primary responsibility for the core <u>c.</u> 49 and specialty areas whether or not the program cuts across

administrative lines;

- 1 The program must consist of an integrated, organized sequence of d. 2 study; 3 There must be an identifiable psychology faculty sufficient in size and <u>e.</u> 4 breadth to carry out its responsibilities; 5 <u>f.</u> The designated director of the program must be a psychologist and a 6 member of the core faculty; 7 The program must have an identifiable body of students who are <u>g.</u> 8 matriculated in that program for a degree; 9 The program must include supervised practicum, internship, or field <u>h.</u> training appropriate to the practice of psychology; 10 11 The curriculum shall encompass a minimum of three academic years <u>i.</u> of full-time graduate study for doctoral degrees and a minimum of one 12 13 academic year of full-time graduate study for master's degree; 14 The program includes an acceptable residency as defined by the Rules <u>j.</u> 15 of the Commission. Possess a current, full, and unrestricted license to practice psychology in a 16 (3) 17 Home State which is a Compact State: No history of adverse action that violate the Rules of the Commission; 18 <u>(4)</u> 19 No criminal record history that violates the Rules of the Commission; (5) 20
  - (6) Possess a current, active IPC;

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- (7) Provide attestations in regard to areas of intended practice and work experience and provide a release of information to allow for primary source verification in a manner specified by the Commission; and
- (8) Meet other criteria as defined by the Rules of the Commission.
- A psychologist practicing into a Distant State under the Temporary Authorization to Practice shall practice within the scope of practice authorized by the Distant State.
- A psychologist practicing into a Distant State under the Temporary Authorization to Practice will be subject to the Distant State's authority and law. A Distant State may, in accordance with that state's due process law, limit or revoke a psychologist's Temporary Authorization to Practice in the Distant State and may take any other necessary actions under the Distant State's applicable law to protect the health and safety of the Distant State's citizens. If a Distant State takes action, the state shall promptly notify the Home State and the Commission.
- If a psychologist's license in any Home State, another Compact State, or any Temporary Authorization to Practice in any Distant State is restricted, suspended, or otherwise limited, the IPC shall be revoked and therefore the psychologist shall not be eligible to practice in a Compact State under the Temporary Authorization to Practice.

#### "§ 90-270.165. Conditions of telepsychology practice in a Receiving State.

A psychologist may practice in a Receiving State under the Authority to Practice Interjurisdictional Telepsychology only in the performance of the scope of practice for psychology as assigned by an appropriate State Psychology Regulatory Authority, as defined in the Rules of the Commission, and under the following circumstances:

- The psychologist initiates a client/patient contact in a Home State via (1) telecommunications technologies with a client/patient in a Receiving State.
- Other conditions regarding telepsychology as determined by Rules (2) promulgated by the Commission.

#### "§ 90-270.166. Adverse actions.

- A Home State shall have the power to impose adverse action against a psychologist's license issued by the Home State. A Distant State shall have the power to take adverse action on a psychologist's Temporary Authorization to Practice within that Distant State.
- A Receiving State may take adverse action on a psychologist's Authority to Practice Interjurisdictional Telepsychology within that Receiving State. A Home State may take adverse

action against a psychologist based on an adverse action taken by a Distant State regarding temporary in-person, face-to-face practice.

- (c) <u>If a Home State takes adverse action against a psychologist's license, that psychologist's Authority to Practice Interjurisdictional Telepsychology is terminated and the E.Passport is revoked. Furthermore, that psychologist's Temporary Authorization to Practice is terminated and the IPC is revoked.</u>
  - (1) All Home State disciplinary orders which impose adverse action shall be reported to the Commission in accordance with the Rules promulgated by the Commission. A Compact State shall report adverse actions in accordance with the Rules of the Commission.
  - (2) In the event discipline is reported on a psychologist, the psychologist will not be eligible for telepsychology or temporary in-person, face-to-face practice in accordance with the Rules of the Commission.
  - (3) Other actions may be imposed as determined by the Rules promulgated by the Commission.
- (d) A Home State's Psychology Regulatory Authority shall investigate and take appropriate action with respect to reported inappropriate conduct engaged in by a licensee which occurred in a Receiving State as it would if such conduct had occurred by a licensee within the Home State. In such cases, the Home State's law shall control in determining any adverse action against a psychologist's license.
- (e) A Distant State's Psychology Regulatory Authority shall investigate and take appropriate action with respect to reported inappropriate conduct engaged in by a psychologist practicing under Temporary Authorization Practice which occurred in that Distant State as it would if such conduct had occurred by a licensee within the Home State. In such cases, Distant State's law shall control in determining any adverse action against a psychologist's Temporary Authorization to Practice.
- (f) Nothing in this Compact shall override a Compact State's decision that a psychologist's participation in an alternative program may be used in lieu of adverse action and that such participation shall remain non-public if required by the Compact State's law. Compact States must require psychologists who enter any alternative programs to not provide telepsychology services under the Authority to Practice Interjurisdictional Telepsychology or provide temporary psychological services under the Temporary Authorization to Practice in any other Compact State during the term of the alternative program.
- (g) No other judicial or administrative remedies shall be available to a psychologist in the event a Compact State imposes an adverse action pursuant to subsection (c) of this section.

# "§ 90-270.167. Additional authorities invested in a Compact State's Psychology Regulatory Authority.

In addition to any other powers granted under state law, a Compact State's Psychology Regulatory Authority shall have the authority under this Compact to:

Issue subpoenas, for both hearings and investigations, which require the attendance and testimony of witnesses and the production of evidence. Subpoenas issued by a Compact State's Psychology Regulatory Authority for the attendance and testimony of witnesses and/or the production of evidence from another Compact State shall be enforced in the latter state by any court of competent jurisdiction, according to that court's practice and procedure in considering subpoenas issued in its own proceedings. The issuing State Psychology Regulatory Authority shall pay any witness fees, travel expenses, mileage and other fees required by the service statutes of the state where the witnesses and/or evidence are located.

- 1 (2) <u>Issue cease and desist and/or injunctive relief orders to revoke a psychologist's</u>
  2 <u>Authority to Practice Interjurisdictional Telepsychology and/or Temporary</u>
  3 <u>Authorization to Practice.</u>
  4 (3) During the course of any investigation, a psychologist may not change his/her
  - (3) During the course of any investigation, a psychologist may not change his/her Home State licensure. A Home State Psychology Regulatory Authority is authorized to complete any pending investigations of a psychologist and to take any actions appropriate under its law. The Home State Psychology Regulatory Authority shall promptly report the conclusions of such investigations to the Commission. Once an investigation has been completed, and pending the outcome of said investigation, the psychologist may change his/her Home State licensure. The Commission shall promptly notify the new Home State of any such decisions as provided in the Rules of the Commission. All information provided to the Commission or distributed by Compact States pursuant to the psychologist shall be confidential, filed under seal, and used for investigatory or disciplinary matters. The Commission may create additional rules for mandated or discretionary sharing of information by Compact States.

### "§ 90-270.168. Coordinated Licensure Information System.

- (a) The Commission shall provide for the development and maintenance of a Coordinated Licensure Information System (Coordinated Database) and reporting system containing licensure and disciplinary action information on all psychologists individuals to whom this Compact is applicable in all Compact States as defined by the Rules of the Commission.
- (b) Notwithstanding any other provision of state law to the contrary, a Compact State shall submit a uniform data set to the Coordinated Database on all licensees as required by the Rules of the Commission, including:
  - (1) Identifying information;
  - (2) <u>Licensure data</u>;
  - (3) Significant investigatory information;
  - (4) Adverse actions against a psychologist's license;
  - (5) An indicator that a psychologist's Authority to Practice Interjurisdictional Telepsychology and/or Temporary Authorization to Practice is revoked;
  - (6) Non-confidential information related to alternative program participation information;
  - (7) Any denial of application for licensure and the reasons for such denial; and
  - (8) Other information which may facilitate the administration of this Compact, as determined by the Rules of the Commission.
- (c) The Coordinated Database administrator shall promptly notify all Compact States of any adverse action taken against, or significant investigative information on, any licensee in a Compact State.
- (d) Compact States reporting information to the Coordinated Database may designate information that may not be shared with the public without the express permission of the Compact State reporting the information.
- (e) Any information submitted to the Coordinated Database that is subsequently required to be expunged by the law of the Compact State reporting the information shall be removed from the Coordinated Database.

#### "§ 90-270.169. Establishment of the Psychology Interjurisdictional Compact Commission.

- (a) The Compact States hereby create and establish a joint public agency known as the Psychology Interjurisdictional Compact Commission.
  - (1) The Commission is a body politic and an instrumentality of the Compact States.

	General	ASSCIIID	ly Of IN		Session 2017
1		<u>(2)</u>	Venue	is proper and judicial proceedings by	or against the Commission shall
2				ught solely and exclusively in a court	
3				ncipal office of the Commission is located	
4				and jurisdictional defenses to the e	·
5				pate in alternative dispute resolution pr	<u>-</u>
6		<u>(3)</u>	_	ig in this Compact shall be construe	
7		<u> </u>	immur	=	<u> </u>
8	<u>(b)</u>	Memb		Voting, and Meetings. –	
9	<u>197</u>	<u>(1)</u>	_	ommission shall consist of one voting r	representative appointed by each
10		11/		act State who shall serve as that st	
11				ology Regulatory Authority shall app	
12				e empowered to act on behalf of the Co	
13				ited to:	mpact State. This delegate shan
14				Executive Director, Executive Secreta	ary or similar executive:
15			<u>a.</u> <u>b.</u>	Current member of the State Psycho	·
16			<u>U.</u>	Compact State; or	logy Regulatory Authority of a
17			0		rioto dalagata authority to act on
18			<u>c.</u>	Designee empowered with the appropriate behalf of the Compact State.	rrate delegate authority to act on
19		(2)	Any C	<u>-</u>	anded from office as provided by
		<u>(2)</u>		ommissioner may be removed or suspe	
20 21				y of the state from which the Commiss	
				ing in the Commission shall be filled in	accordance with the laws of the
22		(2)	_	act State in which the vacancy exists.	(1)
23		<u>(3)</u>		Commissioner shall be entitled to or	
24				lgation of Rules and creation of Byla	
25				unity to participate in the business an	
26				issioner shall vote in person or by such	<del>-</del>
27			-	s. The Bylaws may provide for C	<del>-</del>
28		(4)		gs by telephone or other means of com	
29		<u>(4)</u>		Commission shall meet at least onc	•
30		/ <b>-</b> \		onal meetings shall be held as set forth	
31		<u>(5)</u>		eetings shall be open to the public, and	
32				en in the same manner as required und	er the rule-making provisions in
33		\		<u>0-270.170.</u>	
34		<u>(6)</u>		Commission may convene in a clos	sed, nonpublic meeting if the
35			Comm	ission must discuss:	
36			<u>a.</u>	Noncompliance of a Compact State	with its obligations under the
37				Compact;	
38			<u>b.</u>	The employment, compensation,	*
39				matters, practices, or procedures relate	
40				matters related to the Commission's	internal personnel practices and
41				procedures;	
42			<u>c.</u>	Current, threatened, or reasonably a	nticipated litigation against the
43				<u>Commission;</u>	
44			<u>d.</u>	Negotiation of contracts for the purch	ase or sale of goods, services, or
45				real estate;	
46			<u>e.</u>	Accusation against any person of a c	rime or formally censuring any
47				person;	
48			<u>f.</u>	Disclosure of trade secrets or comm	nercial or financial information
49				which is privileged or confidential;	
50			<u>g.</u>	Disclosure of information of a persona	al nature where disclosure would
51			-	constitute a clearly unwarranted invas	

1 Disclosure of investigatory records compiled for law enforcement h. 2 purposes; 3 Disclosure of information related to any investigatory reports prepared <u>i.</u> 4 by or on behalf of or for use of the Commission or other committee 5 charged with responsibility for investigation or determination of 6 compliance issues pursuant to the Compact; or 7 Matters specifically exempted from disclosure by federal and state <u>i.</u> 8 9 If a meeting, or portion of a meeting, is closed pursuant to this provision, the <u>(7)</u> 10 Commission's legal counsel or designee shall certify that the meeting may be 11 closed and shall reference each relevant exempting provision. The Commission shall keep minutes which fully and clearly describe all matters 12 13 discussed in a meeting and shall provide a full and accurate summary of 14 actions taken, of any person participating in the meeting, and the reasons 15 therefore, including a description of the views expressed. All documents 16 considered in connection with an action shall be identified in such minutes. 17 All minutes and documents of a closed meeting shall remain under seal, 18 subject to release only by a majority vote of the Commission or order of a 19 court of competent jurisdiction. 20 The Commission shall, by a majority vote of the Commissioners, prescribe Bylaws 21 and/or Rules to govern its conduct as may be necessary or appropriate to carry out the purposes 22 and exercise the powers of the Compact, including, but not limited to: 23 Establishing the fiscal year of the Commission; <u>(1)</u> 24 <u>(2)</u> Providing reasonable standards and procedures: 25 For the establishment and meetings of other committees; and <u>a.</u> 26 b. Governing any general or specific delegation of any authority or 27 function of the Commission; 28 (3) Providing reasonable procedures for calling and conducting meetings of the 29 Commission, ensuring reasonable advance notice of all meetings and 30 providing an opportunity for attendance of such meetings by interested parties, 31 with enumerated exceptions designed to protect the public's interest, the 32 privacy of individuals of such proceedings, and proprietary information, 33 including trade secrets. The Commission may meet in closed session only 34 after a majority of the Commissioners vote to close a meeting to the public in 35 whole or in part. As soon as practicable, the Commission must make public a 36 copy of the vote to close the meeting revealing the vote of each Commissioner 37 with no proxy votes allowed; 38 Establishing the titles, duties, and authority and reasonable procedures for the <u>(4)</u> 39 election of the officers of the Commission; 40 Providing reasonable standards and procedures for the establishment of the (5) 41 personnel policies and programs of the Commission. Notwithstanding any 42 civil service or other similar law of any Compact State, the Bylaws shall 43 exclusively govern the personnel policies and programs of the Commission; 44 Promulgating a Code of Ethics to address permissible and prohibited activities <u>(6)</u> 45 of Commission members and employees; 46 (7) Providing a mechanism for concluding the operations of the Commission and 47 the equitable disposition of any surplus funds that may exist after the 48 termination of the Compact after the payment and/or reserving of all of its 49 debts and obligations;

- **General Assembly Of North Carolina** Session 2019 1 The Commission shall publish its Bylaws in a convenient form and file a copy 2 thereof and a copy of any amendment thereto with the appropriate agency or 3 officer in each of the Compact States; 4 The Commission shall maintain its financial records in accordance with the <u>(9)</u> 5 Bylaws: and 6 The Commission shall meet and take such actions as are consistent with the (10)7 provisions of this Compact and the Bylaws. 8 (d) The Commission shall have the following powers: 9 The authority to promulgate uniform rules to facilitate and coordinate (1) implementation and administration of this Compact. The rule shall have the 10 11 force and effect of law and shall be binding in all Compact States; To bring and prosecute legal proceedings or actions in the name of the 12 **(2)** 13 Commission, provided that the standing of any State Psychology Regulatory 14 Authority or other regulatory body responsible for psychology licensure to sue or be sued under applicable law shall not be affected; 15 To purchase and maintain insurance and bonds; 16 <u>(3)</u> 17 To borrow, accept, or contract for services of personnel, including, but not (4) 18 limited to, employees of a Compact State; 19 To hire employees, elect or appoint officers, fix compensation, define duties, <u>(5)</u> 20 grant such individuals appropriate authority to carry out the purposes of the 21 Compact, and to establish the Commission's personnel policies and programs 22 relating to conflicts of interest, qualifications of personnel, and other related 23 personnel matters; 24 <u>(6)</u> To accept any and all appropriate donations and grants of money, equipment, 25 supplies, materials, and services and to receive, utilize, and dispose of the 26 same, provided that at all times the Commission shall strive to avoid any appearance of impropriety and/or conflict of interest; 27 28 <u>(7)</u> To lease, purchase, accept appropriate gifts or donations of, or otherwise to 29 own, hold, improve, or use any property, real, personal, or mixed, provided 30 that at all times the Commission shall strive to avoid any appearance of 31 impropriety; 32 To sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise (8) 33 dispose of any property, real, personal, or mixed; 34 (9) To establish a budget and make expenditures; 35 To borrow money: (10)36 (11)To appoint committees, including advisory committees comprised of 37 members, state regulators, state legislators or their representatives, and 38 consumer representatives, and such other interested persons as may be 39 designated in this Compact and the Bylaws; 40 To provide and receive information from, and to cooperate with, law <u>(12)</u> 41 enforcement agencies; 42 To adopt and use an official seal; and (13)43
  - (14)To perform such other functions as may be necessary or appropriate to achieve the purposes of this Compact consistent with the state regulation of psychology licensure, temporary in-person, face-to-face practice, and telepsychology practice.
  - The Executive Board. The elected officers shall serve as the Executive Board, which shall have the power to act on behalf of the Commission according to the terms of this Compact.
    - The Executive Board shall be comprised of six members: (1)
      - Five voting members who are elected from the current membership of the Commission by the Commission.

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1 One ex-officio, nonvoting member from the recognized membership b. 2 organization composed of State and Provincial Psychology Regulatory 3 Authorities. 4 The ex-officio member must have served as staff or member on a State <u>(2)</u> 5 Psychology Regulatory Authority and will be selected by its respective 6 organization. 7 The Commission may remove any member of the Executive Board as <u>(3)</u> 8 provided in Bylaws. 9 The Executive Board shall meet at least annually. (4) 10 The Executive Board shall have the following duties and responsibilities: (5) 11 Recommend to the entire Commission changes to the Rules or Bylaws, a. changes to this Compact legislation, fees paid by Compact States such 12 13 as annual dues and any other applicable fees: 14 Ensure Compact administration services are appropriately provided, <u>b.</u> 15 contractual or otherwise; Prepare and recommend the budget; 16 <u>c.</u> 17 Maintain financial records on behalf of the Commission; d. Monitor Compact compliance of member states and provide 18 <u>e.</u> 19 compliance reports to the Commission; 20 <u>f.</u> Establish additional committees as necessary; and 21 Other duties as provided in Rules or Bylaws. g. 22 (f) Financing of the Commission. – 23 The Commission shall pay or provide for the payment of the reasonable <u>(1)</u> 24 expenses of its establishment, organization, and ongoing activities. 25 The Commission may accept any and all appropriate revenue sources, **(2)** 26 donations, and grants of money, equipment, supplies, materials, and services. 27 The Commission may levy on and collect an annual assessment from each (3) 28 Compact State or impose fees on other parties to cover the cost of the 29 operations and activities of the Commission and its staff which must be in a 30 total amount sufficient to cover its annual budget as approved each year for 31 which revenue is not provided by other sources. The aggregate annual 32 assessment amount shall be allocated based upon a formula to be determined 33 by the Commission which shall promulgate a rule binding upon all Compact 34 States. 35 The Commission shall not incur obligations of any kind prior to securing the <u>(4)</u> 36 funds adequate to meet the same; nor shall the Commission pledge the credit 37 of any of the Compact States, except by and with the authority of the Compact 38 State. 39 The Commission shall keep accurate accounts of all receipts and <u>(5)</u> 40 disbursements. The receipts and disbursements of the Commission shall be 41 subject to the audit and accounting procedures established under its Bylaws. 42 However, all receipts and disbursements of funds handled by the Commission 43 shall be audited yearly by a certified or licensed public accountant and the 44 report of the audit shall be included in and become part of the annual report 45 of the Commission. 46 (g) Qualified Immunity, Defense, and Indemnification. – 47 The members, officers, Executive Director, employees and representatives of (1) 48 the Commission shall be immune from suit and liability, either personally or 49 in their official capacity, for any claim for damage to or loss of property or 50 personal injury or other civil liability caused by or arising out of any actual or

alleged act, error or omission that occurred, or that the person against whom

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- the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties or responsibilities, provided that nothing in this subdivision shall be construed to protect any such person from suit and/or liability for any damage, loss, injury, or liability caused by the intentional or willful or wanton misconduct of that person.
- The Commission shall defend any member, officer, Executive Director, <u>(2)</u> employee or representative of the Commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities, provided that nothing herein shall be construed to prohibit that person from retaining his or her own counsel; and provided further, that the actual or alleged act, error, or omission did not result from that person's intentional or willful or wanton misconduct.
- The Commission shall indemnify and hold harmless any member, officer, (3) Executive Director, employee, or representative of the Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error, or omission that occurred within the scope of employment, duties, or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional or willful or wanton misconduct of that person.

### "§ 90-270.170. Rule making.

- The Commission shall exercise its rule-making powers pursuant to the criteria set forth in this section and the Rules adopted thereunder. Rules and amendments shall become binding as of the date specified in each rule or amendment.
- If a majority of the legislatures of the Compact States rejects a rule, by enactment of a statute or resolution in the same manner used to adopt the Compact, then such rule shall have no further force and effect in any Compact State.
- Rules or amendments to the rules shall be adopted at a regular or special meeting of the Commission.
- Prior to promulgation and adoption of a final rule or Rules by the Commission, and (d) at least 60 days in advance of the meeting at which the rule will be considered and voted upon, the Commission shall file a Notice of Proposed Rule Making:
  - (1) On the Web site of the Commission; and
  - **(2)** On the Web site of each Compact States' Psychology Regulatory Authority or the publication in which each state would otherwise publish proposed rules.
  - The Notice of Proposed Rule Making shall include: (e)
    - The proposed time, date, and location of the meeting in which the rule will be (1) considered and voted upon;
    - <u>(2)</u> The text of the proposed rule or amendment and the reason for the proposed
    - (3) A request for comments on the proposed rule from any interested person; and
    - (4) The manner in which interested persons may submit notice to the Commission of their intention to attend the public hearing and any written comments.
- Prior to adoption of a proposed rule, the Commission shall allow persons to submit written data, facts, opinions and arguments, which shall be made available to the public.
- The Commission shall grant an opportunity for a public hearing before it adopts a rule or amendment if a hearing is requested by:

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- 1 At least 25 persons who submit comments independently of each other; (1) 2
  - (2) A governmental subdivision or agency; or
  - A duly appointed person in an association that has having at least 25 members. (3)
  - If a hearing is held on the proposed rule or amendment, the Commission shall publish the place, time, and date of the scheduled public hearing.
    - All persons wishing to be heard at the hearing shall notify the Executive (1) Director of the Commission or other designated member in writing of their desire to appear and testify at the hearing not less than five business days before the scheduled date of the hearing.
    - Hearings shall be conducted in a manner providing each person who wishes (2) to comment a fair and reasonable opportunity to comment orally or in writing.
    - No transcript of the hearing is required, unless a written request for a transcript <u>(3)</u> is made, in which case the person requesting the transcript shall bear the cost of producing the transcript. A recording may be made in lieu of a transcript under the same terms and conditions as a transcript. This subsection shall not preclude the Commission from making a transcript or recording of the hearing if it so chooses.
    - <u>(4)</u> Nothing in this section shall be construed as requiring a separate hearing on each rule. Rules may be grouped for the convenience of the Commission at hearings required by this section.
  - Following the scheduled hearing date, or by the close of business on the scheduled (i) hearing date if the hearing was not held, the Commission shall consider all written and oral comments received.
  - (j) The Commission shall, by majority vote of all members, take final action on the proposed rule and shall determine the effective date of the rule, if any, based on the rule-making record and the full text of the rule.
  - If no written notice of intent to attend the public hearing by interested parties is received, the Commission may proceed with promulgation of the proposed rule without a public hearing.
  - Upon determination that an emergency exists, the Commission may consider and (l)adopt an emergency rule without prior notice, opportunity for comment, or hearing, provided that the usual rule-making procedures provided in the Compact and in this section shall be retroactively applied to the rule as soon as reasonably possible, in no event later than 90 days after the effective date of the rule. For the purposes of this provision, an emergency rule is one that must be adopted immediately in order to:
    - Meet an imminent threat to public health, safety, or welfare; (1)
    - (2) Prevent a loss of Commission or Compact State funds;
    - Meet a deadline for the promulgation of an administrative rule that is (3) established by federal law or rule; or
    - Protect public health and safety. (4)
  - The Commission or an authorized committee of the Commission may direct revisions to a previously adopted rule or amendment for purposes of correcting typographical errors, errors in format, errors in consistency, or grammatical errors. Public notice of any revisions shall be posted on the Web site of the Commission. The revision shall be subject to challenge by any person for a period of 30 days after posting. The revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge shall be made in writing, and delivered to the Chair of the Commission prior to the end of the notice period. If no challenge is made, the revision will take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the Commission.
  - "§ 90-270.171. Oversight, dispute resolution, and enforcement.
    - Oversight. (a)

1		<u>(1)</u>	The executive, legislative, and judicial branches of state government in each
2			Compact State shall enforce this Compact and take all actions necessary and
3			appropriate to effectuate the Compact's purposes and intent. The provisions of
4			this Compact and the rules promulgated hereunder shall have standing as
5			statutory law.
6		<u>(2)</u>	All courts shall take judicial notice of the Compact and the rules in any judicial
7			or administrative proceeding in a Compact State pertaining to the subject
8			matter of this Compact which may affect the powers, responsibilities, or
9			actions of the Commission.
10		<u>(3)</u>	The Commission shall be entitled to receive service of process in any such
11			proceeding and shall have standing to intervene in such a proceeding for all
12			purposes. Failure to provide service of process to the Commission shall render
13			a judgment or order void as to the Commission, this Compact, or promulgated
14			rules.
15	<u>(b)</u>	Defau	ult, Technical Assistance, and Termination. –
16	<u> </u>	(1)	If the Commission determines that a Compact State has defaulted in the
17			performance of its obligations or responsibilities under this Compact or the
18			promulgated rules, the Commission shall:
19			a. Provide written notice to the defaulting state and other Compact States
20			of the nature of the default, the proposed means of remedying the
21			default, and/or any other action to be taken by the Commission; and
22			b. Provide remedial training and specific technical assistance regarding
23			the default.
24		(2)	
		<u>(2)</u>	If a state in default fails to remedy the default, the defaulting state may be
25			terminated from the Compact upon an affirmative vote of a majority of the
26			Compact States and all rights, privileges and benefits conferred by this
27			Compact shall be terminated on the effective date of termination. A remedy
28			of the default does not relieve the offending state of obligations or liabilities
29		(2)	incurred during the period of default.
30		<u>(3)</u>	Termination of membership in the Compact shall be imposed only after all
31			other means of securing compliance have been exhausted. Notice of intent to
32			suspend or terminate shall be submitted by the Commission to the Governor,
33			the majority and minority leaders of the defaulting state's legislature, and each
34			of the Compact States.
35		<u>(4)</u>	A Compact State which has been terminated is responsible for all assessments,
36			obligations, and liabilities incurred through the effective date of termination,
37			including obligations which extend beyond the effective date of termination.
38		<u>(5)</u>	The Commission shall not bear any costs incurred by the state which is found
39			to be in default or which has been terminated from the Compact, unless agreed
40			upon in writing between the Commission and the defaulting state.
41		<u>(6)</u>	The defaulting state may appeal the action of the Commission by petitioning
42		<del></del>	the U.S. District Court for the state of Georgia or the federal district where the
43			Compact has its principal offices. The prevailing member shall be awarded all
44			costs of such litigation, including reasonable attorneys' fees.
45	<u>(c)</u>	Disni	ute Resolution. –
46	<u>(C)</u>	$\frac{D1Spt}{(1)}$	Upon request by a Compact State, the Commission shall attempt to resolve
47		<u>\1)</u>	disputes related to the Compact which arise among Compact States and
48			between Compact and Non-Compact States.
46 49		(2)	The Commission shall promulgate a rule providing for both mediation and
50		<u>(2)</u>	
	/ <b>L</b> )	$\mathbf{p}_{\mathbf{r}}\mathbf{f}_{\mathbf{r}}$	binding dispute resolution for disputes that arise before the Commission.
51	<u>(d)</u>	Entor	<u>rcement. – </u>

- 1 (1) The Commission, in the reasonable exercise of its discretion, shall enforce the provisions and Rules of this Compact.
  3 (2) By majority vote, the Commission may initiate legal action in the United States District Court for the State of Georgia or the federal district where the
  - States District Court for the State of Georgia or the federal district where the Compact has its principal offices against a Compact State in default to enforce compliance with the provisions of the Compact and its promulgated Rules and Bylaws. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing member shall be awarded all costs of such litigation, including reasonable attorneys' fees.
  - (3) The remedies herein shall not be the exclusive remedies of the Commission.

    The Commission may pursue any other remedies available under federal or state law.

## "§ 90-270.172. Date of implementation of the Psychology Interjurisdictional Compact Commission and associated rules, withdrawal, and amendments.

- (a) The Compact shall come into effect on the date on which the Compact is enacted into law in the seventh Compact State. The provisions which become effective at that time shall be limited to the powers granted to the Commission relating to assembly and the promulgation of rules. Thereafter, the Commission shall meet and exercise rule-making powers necessary to the implementation and administration of the Compact.
- (b) Any state which joins the Compact subsequent to the Commission's initial adoption of the rules shall be subject to the rules as they exist on the date on which the Compact becomes law in that state. Any rule which has been previously adopted by the Commission shall have the full force and effect of law on the day the Compact becomes law in that state.
- (c) Any Compact State may withdraw from this Compact by enacting a statute repealing the same.
  - (1) A Compact State's withdrawal shall not take effect until six months after enactment of the repealing statute.
  - (2) Withdrawal shall not affect the continuing requirement of the withdrawing State's Psychology Regulatory Authority to comply with the investigative and adverse action reporting requirements of this act prior to the effective date of withdrawal.
- (d) Nothing contained in this Compact shall be construed to invalidate or prevent any psychology licensure agreement or other cooperative arrangement between a Compact State and a Non-Compact State which does not conflict with the provisions of this Compact.
- (e) This Compact may be amended by the Compact States. No amendment to this Compact shall become effective and binding upon any Compact State until it is enacted into the law of all Compact States.

#### "§ 90-270.173. Construction and severability.

This Compact shall be liberally construed so as to effectuate the purposes thereof. If this Compact shall be held contrary to the constitution of any state member thereto, the Compact shall remain in full force and effect as to the remaining Compact States."

**SECTION 3.** This act becomes effective October 1, 2019. The North Carolina Psychology Board shall report to the Revisor of Statutes when the Psychology Interjurisdictional Compact (PSYPACT) set forth in Section 2 of this act has been enacted by the seven member states.