# GENERAL ASSEMBLY OF NORTH CAROLINA

## **SESSION 1993**

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# HOUSE BILL 218\* Committee Substitute Favorable 6/7/93

	Short Title: Counselor Licensing. (Public)
	Sponsors:
	Referred to:
	February 19, 1993
1	A BILL TO BE ENTITLED
2	AN ACT TO PROVIDE FOR THE REGULATION OF THE PRACTICE OF
3	COUNSELING AND THE LICENSURE OF COUNSELORS.
4	The General Assembly of North Carolina enacts:
5	Section 1. Article 24 of Chapter 90 of the General Statutes reads as rewritten:
6	"ARTICLE 24.
7	"REGISTERED PRACTICING LICENSED PROFESSIONAL COUNSELORS
8	ACT.
9	"§ 90-329. Declaration of policy.
10	It is declared to be the public policy of this State that the activities of persons using
11	the title 'Registered Practicing Counselor' who render counseling services to the public be
12	regulated to insure the protection of the public health, safety and welfare.
13	"§ 90-330. Definitions; practice of law; practice of marriage and family therapy.
14	(a) Definitions. As used in this Article certain terms are defined as follows:
15	(1) A 'counselor' is a person engaged in the practice of counseling who is
16	not a Registered Practicing Counselor as defined in this Article.
17	(1a) The 'Board' means the Board of Licensed Professional Counselors.  (2) A 'Board and Professional Counselor' is a paragraphy of the Counselor' in a paragraphy of the Counsel
18 19	(2) A 'Registered Practicing <u>Licensed Professional Counselor'</u> is a person engaged in the practice of counseling who holds a <u>certificate license</u> as
20	a Registered Practicing-Licensed Professional Counselor issued to him
21	under the provisions of this Article.
<b>∠</b> 1	under the provisions of this Atticle.

- The 'practice of counseling' means holding oneself out to the public as a practicing professional counselor offering counseling services which include, but are not limited to the following:

  a. Counseling. Assisting an individual.—individuals, groups, and
  - a. Counseling. Assisting an individual, individuals, groups, and families through the counseling relationship, using a combination of clinical mental health and/or human development principles, methods, diagnostic procedures, treatment plans, and other psychotherapeutic techniques, to develop understanding of personal problems, to define goals and to plan action reflecting his or her the client's interests, abilities, aptitudes and mental health needs as these are related to personal-social-personal-social-emotional concerns, education progress and occupations and careers.
  - b. Appraisal Activities. Administering and interpreting tests for assessment of personal characteristics.
  - c. Consulting. Interpreting scientific data and providing guidance and personnel services to individuals, groups or organizations.
  - d. Referral Activities. Identifying problems requiring referral to other specialists.
  - e. Research Activities. Designing, conducting and interpreting research with human subjects.
  - (4) A 'supervisor' means any licensed professional counselor, or when one is inaccessible, an equivalently credentialed mental health professional, as determined by the Board, with a minimum of five years of counseling experience who meets the qualifications established by the Board.
  - (b) Practice of Law. Nothing in this Article shall be construed as authorizing Registered Practicing Counselors to engage in the practice of law, and such person shall not engage in the practice of law unless duly licensed so to do.
  - (c) Practice of Marriage and Family Therapy, Psychology or Social Work. No person hereafter registered\_licensed\_as a Practicing\_Licensed Professional Counselor under the provisions of this Article shall be allowed to hold himself or herself out to the public as having specialized training or experience as an expert or specializing in the field of a Certified Marriage and Family Therapy, Psychology—Therapist, Licensed Practicing Psychologist, Psychological Associate, or Social Work—Certified Clinical Social Worker unless specifically authorized by other provisions of law.

# "§ 90-331. Unlawful use of title 'Registered Practicing Licensed Professional Counselor.'

It shall be unlawful for any person who has not received a certificate of qualification as a Registered Practicing Counselor to assume or use such a title, or to use any words or other means of identification indicating that the person has been certified as a Registered Practicing Counselor, but such person may use the term 'counselor' in connection with his name relating to his services as a counselor. It shall be unlawful for any person who is not licensed under this Article to engage in the practice of counseling

or use the title 'Licensed Professional Counselor', or use the letters 'LPC', or any facsimile or combination of any words or letters, abbreviations, or insignia or to indicate or imply orally or in writing or in any way that the person is a licensed counselor.

## "§ 90-332. Use of title by firm.

It shall be unlawful for any firm, partnership, corporation, association or other business or professional entity to assume or use the title of Registered Practicing-Licensed Professional Counselor, unless each of the members of such firm, partnership or association first shall have received a certificate of qualification-license from the State Board of Registered Practicing Licensed Professional Counselors.

## "§ 90-332.1. Exemptions.

- (a) It is not the intent of this Article to regulate members of other regulated professions who do counseling in the normal course of the practice of their profession. Accordingly, this Article does not apply to:
  - (1) Any person registered, certified, or licensed by the State to practice any other occupation or profession while rendering counseling services in the performance of the occupation or profession for which he is registered, certified, or licensed.
  - (2) School counselors certified by the State Board of Education while counseling within the scope of their employment by a board of education or private school.
  - (3) Student interns or trainees in counseling pursuing a course of study in counseling in a regionally accredited institution of higher learning or training institution if activities and services constitute a part of the supervised course of study; provided, that these persons be designated 'Counselor Intern'.
  - (4) Ordained ministers or clergy while in their ministerial capacity.
  - (5) Any nonresident temporarily employed in this State to render counseling services for not more than 30 days in a year, if the person holds a license or certificate required for counselors in another state.
  - (6) Persons employed by State, federal, county, and municipal governments while counseling within the scope of their employment.
  - (7) Persons performing mental health counseling solely as an employee of an area facility, as defined in G.S. 122C-3(14)a., provided that
    - a. The mental health services are provided by a qualified mental health professional who meets or exceeds the minimum educational qualifications for licensure as a licensed professional counselor under this Article; and
    - b. The hospital, health care facility, or agency under contract to provide counseling services has obtained written verification from the following boards that the employee has not had his or her license, registration, or certification revoked, rescinded, or suspended: the North Carolina Board of Licensed Professional Counselors, the Board of Examiners in Psychology, the North

<u>Carolina Certification Board for Social Work, and the North</u> Carolina Marital and Family Therapy Certification Board.

- (b) Persons claiming benefit of any of these exemptions are prohibited from advertising or offering themselves as 'Licensed Professional Counselors'.
  - (c) Persons licensed under this Article are exempt from rules pertaining to counseling adopted by other occupational licensing boards.

# "§ 90-333. North Carolina Board of Registered Practicing Licensed Professional Counselors; appointments; terms; composition.

- (a) For the purpose of carrying out the provisions of this Article, there is hereby created the North Carolina Board of Registered Practicing Licensed Professional Counselors which shall consist of seven members appointed by the Governor in the manner hereinafter prescribed. Any nationally recognized association representing professional counselors may submit recommendations to the Governor for Board membership. The Governor may remove any member of the Board for neglect of duty or malfeasance or conviction of a felony or other crime of moral turpitude, but for no other reason.
- (b) At least five members of the Board shall be Registered Practicing Licensed Professional Counselors except that initial appointees shall be persons who meet the educational and experience requirements for registration as Registered Practicing licensure as Licensed Professional Counselors under the provisions of this Article; and two members shall be appointed from the public at large at-large members appointed from the general public. Composition of the Board as to the race and sex of its members shall reflect the composition of the population of the State of North Carolina.
- (c) At all times the Board shall include at least two counselors one counselor primarily engaged in counselor education, at least one counselor primarily engaged in the public sector, and at least two counselors one counselor primarily engaged in the private sector, and two licensed professional counselors at large.
- (d) All members of the Board shall be residents of the State of North Carolina, and after the establishment of the initial Board, all members, and with the exception of the public members at-large members, shall be registered licensed by the Board under the provisions of this Article. Professional members of the Board must be actively engaged in the practice of counseling or in the education and training of students in counseling, and have been for at least three years prior to their appointment to the Board. Such activity during the two years preceding the appointment shall have occurred primarily in this State.
- (e) The term of office of each member of the Board shall be three years; provided, however, that of the members first appointed, three shall be appointed for terms of one year, two for terms of two years, and two for terms of three years. No member shall serve more than two consecutive three-year terms.
- (f) Each term of service on the Board shall expire on the 30th day of June of the year in which the term expires. As the term of a member expires, the Governor shall make the appointment for a full term, or, if a vacancy occurs for any other reason, for the remainder of the unexpired term.

- (g) Members of the Board shall receive compensation for their services and reimbursement for expenses incurred in the performance of duties required by this Article, at the rates prescribed in G.S. 93B-5.
- (h) The Board may employ, subject to the provisions of Chapter 126 of the General Statutes, the necessary personnel for the performance of its functions, and fix their compensation within the limits of funds available to the Board.

#### "§ 90-334. Functions and duties of the Board.

- (a) The Board shall administer and enforce the provisions of this Article.
- (b) The Board shall elect from its membership, a chairperson, a vice-chairperson, and secretary-treasurer, and adopt rules to govern its proceedings. A majority of the membership shall constitute a quorum for all Board meetings.
- (c) The Board shall examine and pass on the qualifications of all applicants for <u>certificates-licenses</u> under this Article, and shall issue a <u>certificate-license</u> or <u>renewal of license</u> to each successful applicant therefor.
- (d) The Board may adopt a seal which may be affixed to all <u>certificates</u>-<u>licenses</u> issued by the Board.
- (e) The Board may authorize expenditures deemed necessary to carry out the provisions of this Article from the fees which it collects, but in no event shall expenditures exceed the revenues of the Board during any fiscal year. No State appropriations shall be subject to the administration of the Board.
- (\$75.00) one hundred dollars (\$100.00) for initial or renewal application, not to exceed seventy-five dollars (\$75.00) one hundred dollars (\$100.00) for examination, and not to exceed fifteen dollars (\$15.00) twenty-five dollars (\$25.00) for late renewal; maintain Board accounts of all receipts, and make expenditures from Board receipts for any purpose which is reasonable and necessary for the proper performance of its duties under this Article.
- (g) The Board shall have the power to establish or approve study or training courses and to establish reasonable standards for registration—licensure and certificate license renewal, including but not limited to the power to adopt or use examination materials and accreditation standards of any recognized counselor accrediting agency and the power to establish reasonable standards for continuing counselor education; provided that for certificate renewal no examination shall be required. education.
- (h) Subject to the provisions of Chapter 150B of the General Statutes, the Board shall have the power to adopt, amend, or repeal rules and regulations to carry out the purposes of this Article, including but not limited to the power to adopt ethical and disciplinary standards.
- (i) The Board shall not adopt rules to regulate individuals who do not use the title 'Registered Practicing Counselor.'—The Board shall establish by rule the criteria for determining the qualifications constituting 'supervised experience'.
- (j) The Board may examine for, approve, issue, deny, revoke, suspend, and renew the licenses of counselor applicants and licensees under this Article and conduct hearings in connection with these actions.

(k) The Board shall conduct investigations, subpoena individuals and records, and do all other things necessary and proper to discipline persons licensed under this Article and to enforce this Article.

#### "§ 90-335. Board general provisions.

The Board shall be subject to the provisions of Chapter 93B of the General Statutes. "§ 90-336. Title and qualifications for registration-licensure.

- (a) Each person desiring to be registered by the Board <u>a Licensed Professional Counselor</u> shall make application to the Board upon such forms and in such manner as the Board shall prescribe, together with the required application fee established by the Board fee.
- (b) The Board shall issue a <u>certificate as 'Registered Practicing-license as 'Licensed Professional Counselor'</u> to an applicant who:

#### (1) Has earned a

a. Masters degree in counseling from a regionally accredited institution of higher education, which includes a minimum of 48 semester hours, or

Holds a Master's degree from a college or university accredited by one of the regional accrediting associations or from a college or university determined by the Board to have standards substantially equivalent to a regionally accredited institution, and

#### $\frac{(2)}{\text{Has a}}$

- <u>b.</u> <u>Graduate</u> degree <u>in a related field including a concentration in subject matter directly related to the practice of counseling as defined in G.S. 90-330(a)(3) or a degree supplemented with courses that the Board determines to be substantially equivalent, and equivalent;</u>
- (3) (2) Provides satisfactory evidence of the completion of two years' experience in the practice of counseling under the direct supervision of a Registered Practicing Counselor. A doctoral degree in counseling from an accredited college or university may be substituted for two years of experience. Has had no less than two years of masters or post-masters counseling experience, or of both, in a professional setting, including a minimum of 2,000 hours of supervised professional practice as defined by the Board; and
- (3) Has passed an examination as adopted by the Board.

#### "§ 90-337. Persons certified-credentialed in other states.

A counselor who holds a valid and unrevoked certificate as a Registered Practicing Counselor, or its equivalent, issued under authority of any state, or the District of Columbia, and who resides within the State of North Carolina, may perform work within the State: Provided, that he register with the State Board of Registered Practicing Counselors and comply with its rules regarding such registration. Such person may use the term 'Counselor' in connection with his name, but may not use the term 'Registered Practicing Counselor' without registering with the Board. The Board may license any person who is currently licensed, certified, or registered by another state if the

individual has met requirements determined by the Board to be substantially similar to or exceeding those established under this Article.

### "§ 90-338. Temporary exemption from academic qualifications. Exemptions.

Applicants who were engaged in the practice of counseling before January 1, 1984, July 1, 1993, shall be exempt from the academic qualifications required by this Article for Registered Practicing Licensed Professional Counselors and shall be registered licensed upon passing the Board examination and meeting the experience requirements. Applicants holding certificates of registration as Registered Practicing Counselors and in good standing with the Board shall be issued licenses as Licensed Professional Counselors without meeting the requirements of G.S. 90-336(b).

# "§ 90-339. Renewal of certificates of registration.-licenses.

- (a) All <u>certificates of registration-licenses</u> shall be effective upon date of issuance by the Board, and shall expire on the second June 30 thereafter.
- (b) All <u>certificates of registration-licenses</u> issued hereunder shall be renewed at the times and in the manner provided by this section. At least 45 days prior to expiration of each <u>certificate of registration, license</u>, the Board shall mail a notice for <u>certificate-license</u> renewal to the person <u>certified-licensed</u> for the current <u>certification-licensure</u> period. At least 10 days before the current <u>certificate-license</u> expires, the applicant must return the notice properly completed, together with a renewal fee established by the <u>Board, Board and evidence of continuing counselor education as approved by the Board, upon receipt of which the Board shall issue to the person to be <u>registered-licensed</u> the renewed <u>certificate of registration-license</u> for the period stated on the <u>said certificate-license</u>.</u>
- (c) Any person <u>certified\_licensed</u> who allows his <u>certificate\_license</u> to lapse for failure to apply for renewal within 45 days after notice shall be subject to a late renewal fee as established herein. Failure to apply for renewal of a <u>certificate of registration license</u> within one year after the <u>certificate's\_license's</u> expiration date will require that a <u>certificate of registration\_license</u> be reissued only upon application as for an original <u>certificate.</u>-license.

#### "§ 90-340. Disciplinary procedures. Protection of the public.

The Board may, in accordance with the provisions of Chapter 150B of the General Statutes, refuse to grant or to renew, may suspend, or may revoke the <u>certificate-license</u> of any person <u>certified-licensed</u> under this Article on the following grounds:

- (1) Conviction of a misdemeanor under this Article; or
- (2) Conviction of a felony under the laws of the United States or of any state of the United States; or
- (3) Gross unprofessional conduct, dishonest practice or incompetence in the practice of counseling; or
- (4) Procuring or attempting to procure a <u>certificate of registration license</u> by fraud, deceit, or misrepresentation; or
- (5) Any fraudulent or dishonest conduct in counseling; or
- (6) Inability of the person to perform the functions for which a eertificate of registration-license has been issued due to impairment of mental or physical faculties; or

- (7) Violations of any of the provisions of this Article or rules of the Board.

  Board; or
  - (8) <u>Violations of the American Counseling Association Ethical Standards adopted by the Board.</u>

## "§ 90-341. Violation a misdemeanor.

Any person violating any provision of this Article is guilty of a misdemeanor and, upon conviction thereof, may be punishable by fine, by imprisonment, or by both fine and imprisonment.

#### "§ 90-342. Injunction.

As an additional remedy, the Board may proceed in a superior court to enjoin and restrain any person from violating the prohibitions of this Article. The Board shall not be required to post bond in connection with such proceeding.

#### "§ 90-343. Disclosure.

Any individual, or employer of an individual, who is licensed under this Article may not charge a client or receive remuneration for professional counseling services unless, prior to the performance of those services, the client is furnished a copy of a Professional Disclosure Statement which includes the licensee's professional credentials, the services offered, the fee schedule, and other provisions required by the Board."

Sec. 2. G.S. 8-53.8 reads as rewritten:

#### "§ 8-53.8. Counselor privilege.

No person, duly registered licensed pursuant to Chapter 90, Article 24, of the General Statutes, shall be required to disclose any information which he or she may have acquired in rendering professional counseling services, and which information was necessary to enable him or her to render professional counseling services: Provided, that the presiding judge of a superior or district court may compel such disclosure, if in the court's opinion the same is necessary to a proper administration of justice and such disclosure is not prohibited by other statute or regulation."

Sec. 3. G.S. 7A-551 reads as rewritten:

#### "§ 7A-551. Privileges not grounds for excluding evidence.

Neither the physician-patient privilege, the psychologist-client privilege, the licensed professional counselor-client privilege, nor the husband-wife privilege shall be grounds for excluding evidence of abuse or neglect in any judicial proceeding (civil, criminal, or juvenile) in which a juvenile's abuse or neglect is in issue nor in any judicial proceeding resulting from a report submitted under this Article, both as said privileges relate to the competency of the witness and to the exclusion of confidential communications."

- Sec. 4. If any portion of this act is declared invalid or unconstitutional, that declaration shall not affect the validity and constitutionality of the remaining portions.
- Sec. 5. This act becomes effective July 1, 1994, except that the Board's rulemaking authority under this act is effective upon ratification of the act.